

Another possibility is to take  $\mu = 1 + \mathbf{P} \otimes \mathbf{Q}$  with  $\mathbf{P} \cdot \mathbf{Q} = 0$ , when we have  $\mathbf{M} = 1 + \mathbf{B}\mathbf{P} \otimes \mathbf{B}^{-T}\mathbf{Q}$  and

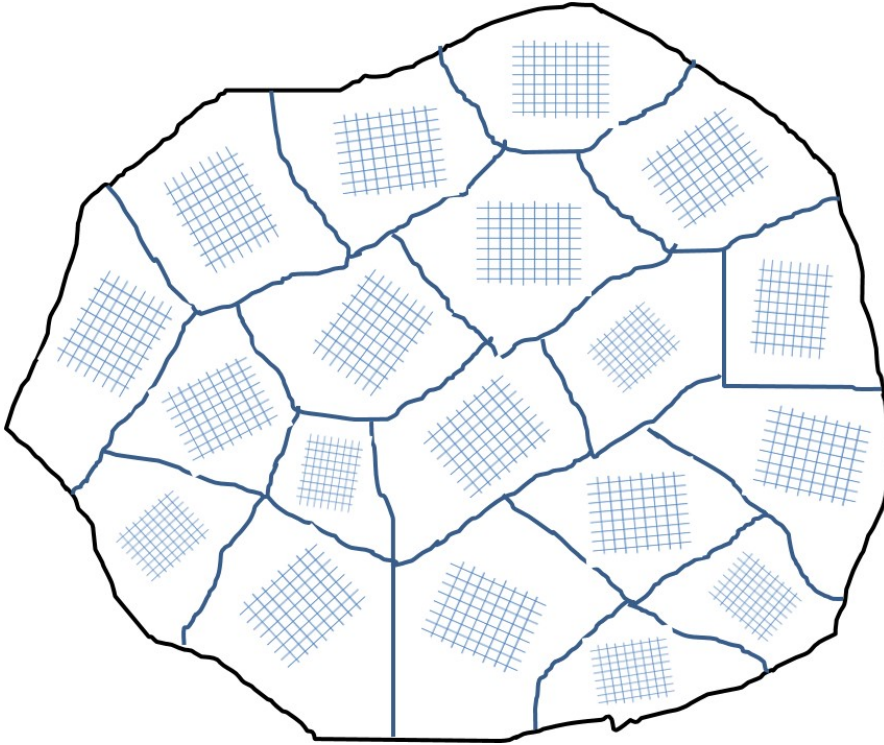
$$\begin{aligned} \mathbf{M}^T\mathbf{M} - 1 &= \mathbf{B}^{-T}\mathbf{Q} \otimes \mathbf{B}\mathbf{P} + \mathbf{B}\mathbf{P} \otimes \mathbf{B}^{-T}\mathbf{Q} + |\mathbf{B}\mathbf{P}|^2\mathbf{B}^{-T}\mathbf{Q} \otimes \mathbf{B}^{-T}\mathbf{Q} \\ &= (\mathbf{B}\mathbf{P} + \frac{1}{2}|\mathbf{B}\mathbf{P}|^2\mathbf{B}^{-T}\mathbf{Q}) \otimes \mathbf{B}^{-T}\mathbf{Q} \\ &\quad + \mathbf{B}^{-T}\mathbf{Q} \otimes (\mathbf{B}\mathbf{P} + \frac{1}{2}|\mathbf{B}\mathbf{P}|^2\mathbf{B}^{-T}\mathbf{Q}), \end{aligned}$$

so that again  $SO(3)$  and  $SO(3)\mathbf{M}$  are rank-one connected with normals  $\mathbf{B}^{-T}\mathbf{Q}$  and  $\mathbf{B}\mathbf{P} + \frac{1}{2}|\mathbf{B}\mathbf{P}|^2\mathbf{B}^{-T}\mathbf{Q}$  and  $|\mathbf{a}|^2 = |\mathbf{B}\mathbf{p}|^2|\mathbf{B}^{-T}\mathbf{q}|^2$ .

For bcc with  $\mathbf{P} = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$ ,  $\mathbf{Q} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ , we get the normals  $\begin{pmatrix} 2 \\ 1 \\ 1 \end{pmatrix}$   
 (twinning),  $\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$  (slip) with  $|\mathbf{a}|^2 = 2$ .

# Polycrystals

Different orientations of the crystal lattice in each grain.



No diffusion, within the grains, or of the grain boundaries.

# Description of grain geometry

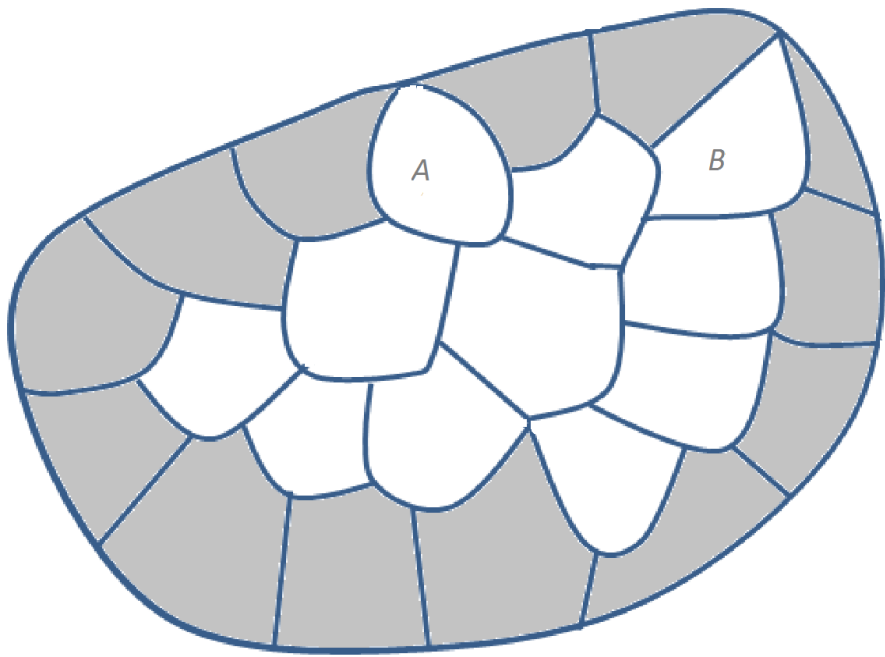
Consider a polycrystal that occupies in a reference configuration a bounded domain (open, connected set)  $\Omega \subset \mathbb{R}^3$  composed of a finite number of disjoint grains  $\Omega_j$ ,  $j = 1, \dots, N$ , where each  $\Omega_j$  is a bounded domain with Lipschitz boundary  $\partial\Omega_j$ , so that

$$\Omega = \text{int} \bigcup_{i=1}^N \overline{\Omega}_j.$$

# Topology and graphs

Some topological information is encoded in the graph whose vertices are the grains (labelled  $1, \dots, N$ ) and with edges  $(i, j)$  corresponding to grains  $\Omega_i, \Omega_j$  with  $\mathcal{H}^2(\partial\Omega_i \cap \partial\Omega_j) > 0$  (in 2D this is used in the proof of the four colour theorem).

For each grain  $i$  let  $M(i)$  be the number of  $j \neq i$  for which  $(i, j)$  is an edge.



A and B are interior grains but touch  $\partial\Omega$ .

*Interior* grains are ones for which  $\partial\Omega_j \subset \bigcup_{k \neq j} \partial\Omega_k$ , and the others are *boundary* grains.

The set of *triple points* is

$$T = \bigcup_{1 \leq i_1 < i_2 < i_3 \leq N} \partial\Omega_{i_1} \cap \partial\Omega_{i_2} \cap \partial\Omega_{i_3}.$$

**Theorem** Suppose each grain  $\Omega_j$  is convex. Then every interior grain  $\Omega_i$  is a convex polyhedron (i.e. an intersection of a finite number of open half-spaces) with at most  $M(i)$  faces.

**Theorem** If each  $\overline{\Omega}_j$  is a topological manifold with boundary then  $T$  is nowhere dense in  $\bigcup_{j=1}^N \partial\Omega_j$ .

# Zero-energy microstructures for a polycrystal

For a polycrystal the total free energy is given by

$$I(\mathbf{y}) = \int_{\Omega} W(D\mathbf{y}(\mathbf{x}), \mathbf{x}) d\mathbf{x},$$

where  $W(\mathbf{A}, \mathbf{x}) = \psi(\mathbf{A}\mathbf{R}_i^T)$  for  $\mathbf{x} \in \Omega_i$  and  $\mathbf{R}_i \in SO(3)$ .

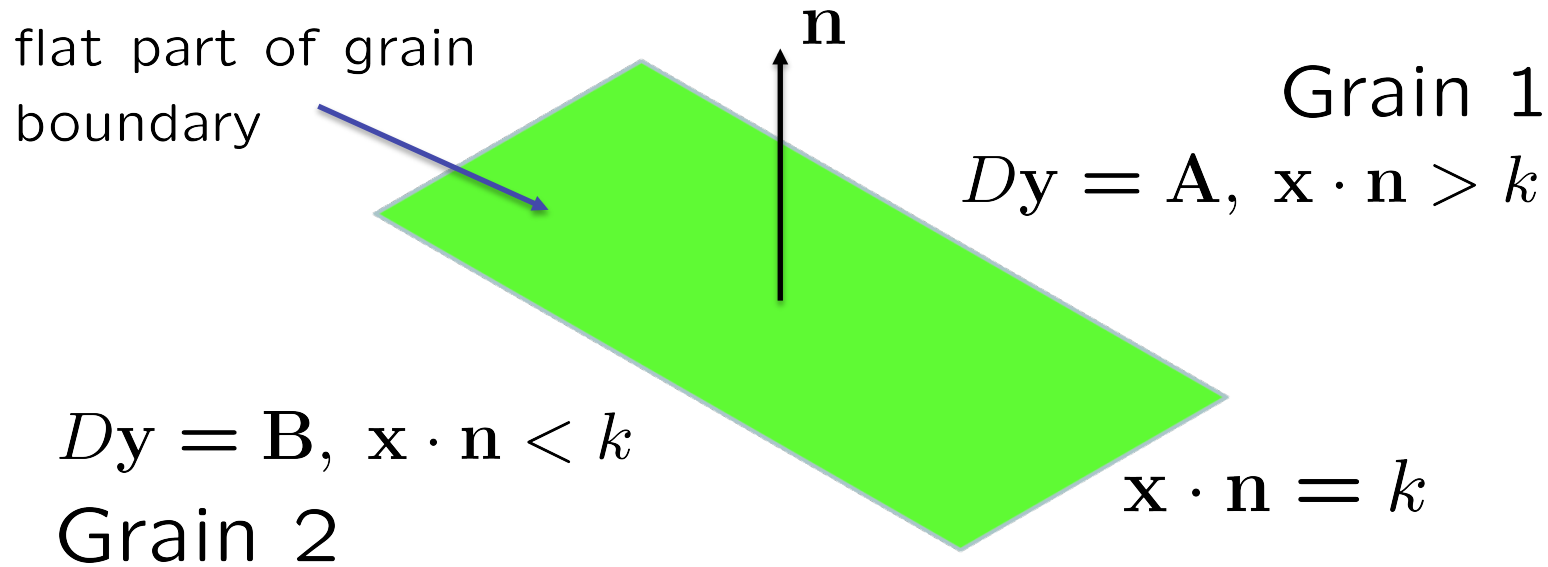
We fix  $\theta < \theta_c$  and write  $K = K(\theta)$ ,  $\mathbf{U} = \mathbf{U}(\theta)$  etc.

Then a zero-energy microstructure corresponds to a gradient YM  $(\nu_{\mathbf{x}})_{\mathbf{x} \in \Omega}$  with  $\text{supp } \nu_{\mathbf{x}} \subset K\mathbf{R}_i$  for a.e.  $\mathbf{x} \in \Omega_i$ , or equivalently to a macroscopic deformation gradient with

$$D\mathbf{y}(\mathbf{x}) \in (K\mathbf{R}_i)^{\text{qc}} = K^{\text{qc}}\mathbf{R}_i$$

for a.e.  $\mathbf{x} \in \Omega_i$ .

# Constant deformation gradient in adjacent grains



We can assume that grain 1 has unrotated crystal axes. Hence for this to be a zero-energy deformation  $\mathbf{A} = \mathbf{Q}_1 \mathbf{U}_i$ ,  $\mathbf{B} = \mathbf{Q}_2 \mathbf{U}_j \tilde{\mathbf{R}}$ , where  $\mathbf{Q}_1, \mathbf{Q}_2 \in SO(3)$  and  $\tilde{\mathbf{R}} \in SO(3)$  is the rotation of grain 2.



For a rank-one connection we must have

$$\det(\mathbf{U}_i^2 - \tilde{\mathbf{R}}^T \mathbf{U}_i^2 \tilde{\mathbf{R}}) = 0.$$

The function

$$\mathbf{R} \mapsto \det(\mathbf{U}_i^2 - \mathbf{R}^T \mathbf{U}_i^2 \mathbf{R})$$

is real analytic on  $SO(3)$  and for  $\mathbf{U}_i$  not a multiple of  $\mathbf{1}$  is not identically zero. Hence (c.f. Mityagin 2015) its zero set is of measure zero. Thus for generic grain rotations such a zero-energy deformation is impossible.

## Zero-energy microstructures possible for any grain geometry and rotations

These correspond to gradient YMs  $(\nu_{\mathbf{x}})_{\mathbf{x} \in \Omega}$  such that  $\text{supp } \nu_{\mathbf{x}} \subset \bigcap_{\mathbf{R} \in SO(3)} K\mathbf{R}$  a.e., or equivalently to macroscopic deformation gradients satisfying

$$D\mathbf{y}(\mathbf{x}) \in \mathcal{E} := \bigcap_{\mathbf{R} \in SO(3)} K^{\text{qc}}\mathbf{R} \text{ for a.e. } \mathbf{x} \in \Omega.$$

The set  $\mathcal{E}$  was essentially defined in Bhattacharya & Kohn (1996, 1997) in connection with the ‘Taylor bound’.

Note that  $\mathcal{E}$  is *isotropic*, i.e.

$$\mathbf{Q}\mathcal{E}\mathbf{R} = \mathcal{E} \text{ for all } \mathbf{Q}, \mathbf{R} \in SO(3).$$