

# Mallard's law

Let  $\mathbf{U} = \mathbf{U}^T > 0$ ,  $\mathbf{V} = \mathbf{V}^T > 0$  be such that

$$\mathbf{V} = (-\mathbf{1} + 2\mathbf{e} \otimes \mathbf{e})\mathbf{U}(-\mathbf{1} + 2\mathbf{e} \otimes \mathbf{e}), \quad (\dagger)$$

where  $|\mathbf{e}| = 1$ . Then  $SO(3)\mathbf{U}$  and  $SO(3)\mathbf{V}$  are rank-one connected with rank-one connections given by

$$\mathbf{Q}\mathbf{V} = \mathbf{U} + \mathbf{a} \otimes \mathbf{n},$$

$$\mathbf{a} \otimes \mathbf{n} = \begin{cases} 2 \left( \frac{\mathbf{U}^{-1}\mathbf{e}}{|\mathbf{U}^{-1}\mathbf{e}|^2} - \mathbf{U}\mathbf{e} \right) \otimes \mathbf{e} & \text{(Type I),} \\ 2\mathbf{U}\mathbf{e} \otimes \left( \mathbf{e} - \frac{\mathbf{U}^2\mathbf{e}}{|\mathbf{U}\mathbf{e}|^2} \right) & \text{(Type II).} \end{cases}$$

(In fact Chen et al (2013) show that if  $\mathbf{V} = \mathbf{R}\mathbf{U}\mathbf{R}^T$  for some  $\mathbf{R} \in SO(3)$  then  $SO(3)\mathbf{U}$  and  $SO(3)\mathbf{V}$  are rank-one connected iff  $(\dagger)$  holds for some  $\mathbf{e}$ .)

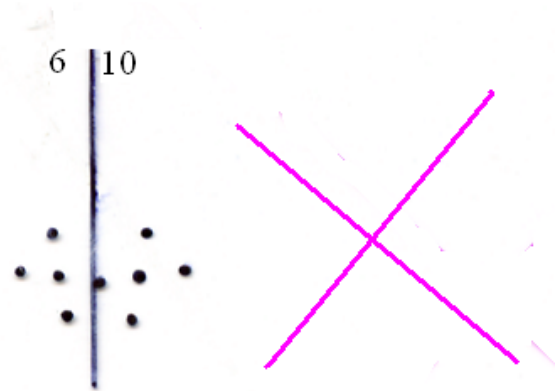
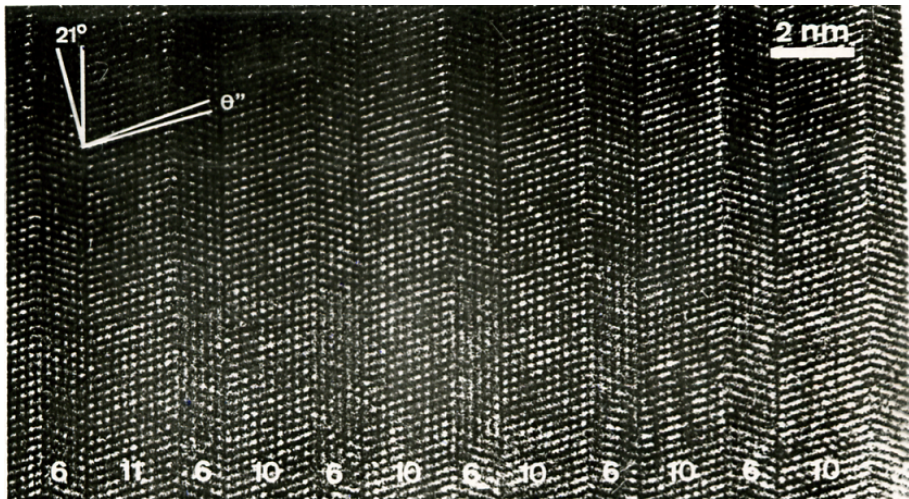
For example, for cubic-to-tetragonal we can take

$$U_1 = \text{diag}(\eta_2, \eta_1, \eta_1), \quad U_2 = \text{diag}(\eta_1, \eta_2, \eta_1),$$

and then

$$U_1^2 - U_2^2 = \frac{1}{2}(\eta_2^2 - \eta_1^2) \left( (e_2 - e_1) \otimes (e_2 + e_1) + (e_2 + e_1) \otimes (e_2 - e_1) \right),$$

so that twinning is on  $[110]$  planes.



# Convexity conditions

Let  $\psi : M^{3 \times 3} \rightarrow \mathbb{R}$  be continuous. We say that

$\psi$  is *rank-one convex* if  $t \mapsto \psi(\mathbf{A} + t\mathbf{a} \otimes \mathbf{n})$  is convex for all  $\mathbf{A} \in M^{3 \times 3}$ , and  $\mathbf{a}, \mathbf{n} \in \mathbb{R}^3$ ,

Null Lagrangians

$\psi$  is *polyconvex* if  $\psi(\mathbf{A}) = g(\mathbf{A}, \text{cof } \mathbf{A}, \det \mathbf{A})$  for all  $\mathbf{A} \in M^{3 \times 3}$  for some convex  $g$ ,

$\psi$  is *quasiconvex* if

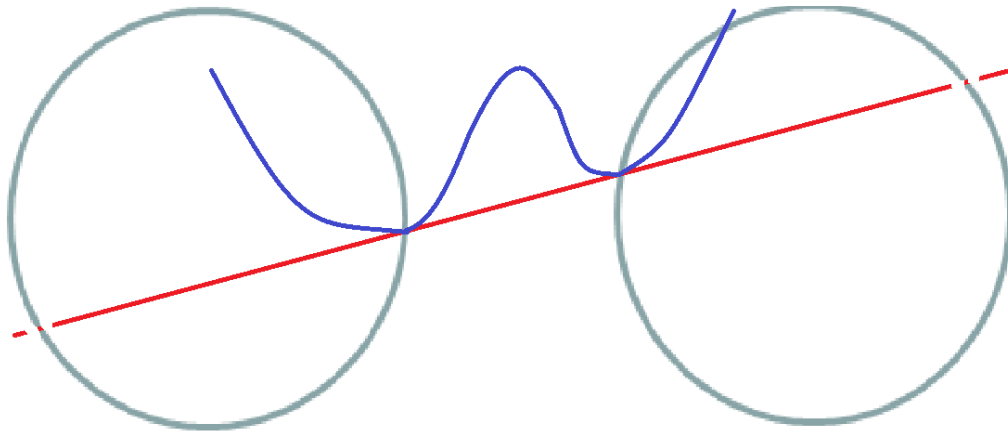
$$\int_{\Omega} \psi(D\mathbf{z}(\mathbf{x})) \, d\mathbf{x} \geq \int_{\Omega} \psi(\mathbf{A}) \, d\mathbf{x}$$

whenever  $\mathbf{z} \in \mathbf{A}\mathbf{x} + W_0^{1,\infty}(\Omega; \mathbb{R}^3)$ .

definition  
independent  
of  $\Omega$

or  $C_0^\infty(\Omega; \mathbb{R}^3)$

$\psi$  polyconvex  $\Rightarrow$   $\psi$  quasiconvex  $\Rightarrow$   $\psi$  rank-one convex  
 ~~$\Leftarrow$~~  Roughly N&S  ~~$\Leftarrow$~~   
 for existence  
 of minimizers



The free-energy function  $\psi(\cdot, \theta)$  is **not** quasiconvex because the existence of rank-one connections between energy wells implies that  $\psi(\cdot, \theta)$  is not rank-one convex.

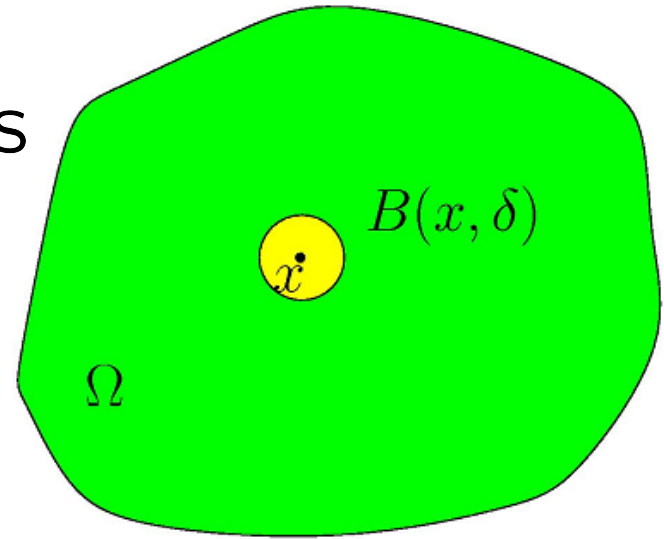
So we expect the minimum of the energy in general not to be attained, with the gradients  $D\mathbf{y}^{(j)}$  of minimizing sequences generating *infinitely fine* microstructures.



# Gradient Young measures

Given a sequence of gradients  
 $D\mathbf{y}^{(j)}$ , fix  $j, \mathbf{x}, \delta$ .

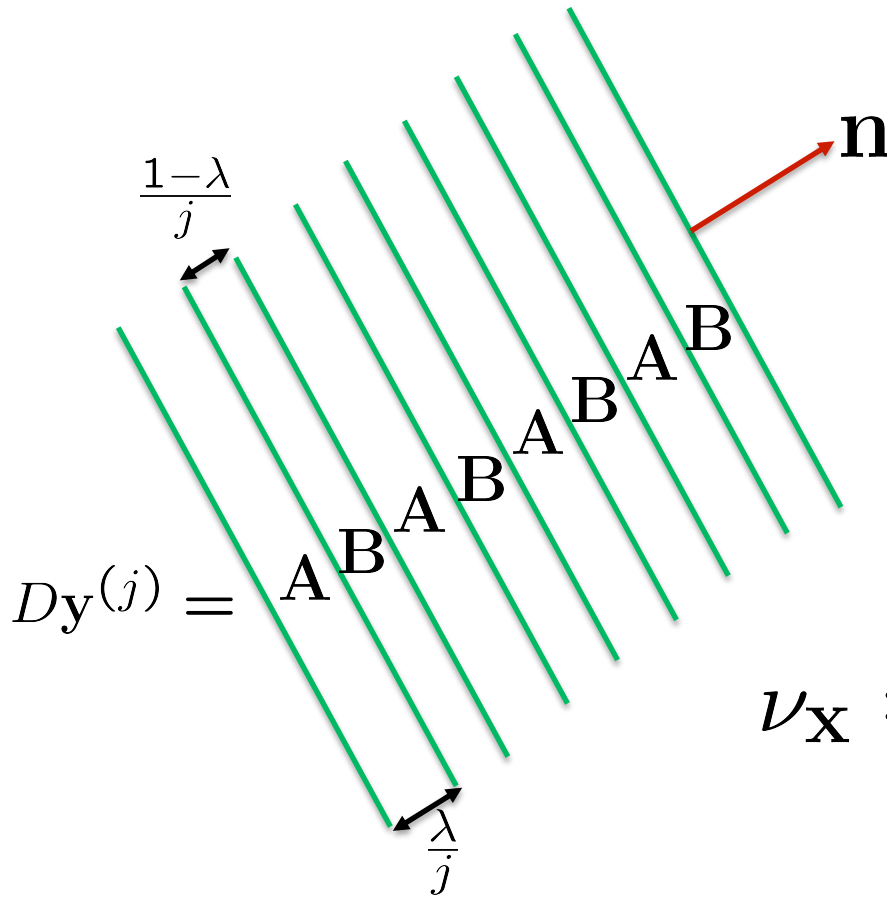
Let  $E \subset M^{3 \times 3}$ .



$$\nu_{\mathbf{x}, j, \delta}(E) = \frac{\text{vol} \{ \mathbf{z} \in B(\mathbf{x}, \delta) : D\mathbf{y}^{(j)}(\mathbf{z}) \in E \}}{\text{vol} B(\mathbf{x}, \delta)}$$

$$\nu_{\mathbf{x}}(E) = \lim_{\delta \rightarrow 0} \lim_{j \rightarrow \infty} \nu_{\mathbf{x}, j, \delta}(E) \quad \text{Gradient Young measure}$$

# Gradient Young measure of a simple laminate



$$\mathbf{A} - \mathbf{B} = \mathbf{a} \otimes \mathbf{n}$$

$$0 < \lambda < 1$$

$$\nu_{\mathbf{x}} = \lambda \delta_{\mathbf{A}} + (1 - \lambda) \delta_{\mathbf{B}}$$

$$D\mathbf{y}^{(j)} \rightharpoonup \lambda \mathbf{A} + (1 - \lambda) \mathbf{B} = \bar{\nu}_{\mathbf{x}} = \int_{M^{3 \times 3}} \mathbf{C} \, d\nu_{\mathbf{x}}(\mathbf{C})$$

## **Theorem** (Kinderlehrer/Pedregal)

*A family of probability measures  $(\nu_{\mathbf{x}})_{\mathbf{x} \in \Omega}$  is the Young measure of a sequence of gradients  $D\mathbf{y}^{(j)}$  bounded in  $L^\infty$  if and only if*

*(i)  $\bar{\nu}_{\mathbf{x}}$  is a gradient ( $D\mathbf{y}$ , the weak limit of  $D\mathbf{y}^{(j)}$ )*

*(ii)  $\langle \nu_{\mathbf{x}}, f \rangle := \int_{M^{3 \times 3}} f(\mathbf{C}) d\nu_{\mathbf{x}}(\mathbf{C}) \geq f(\bar{\nu}_{\mathbf{x}})$*

*for all quasiconvex  $f$ .*

# Convexifications with respect to a cone

Let  $G$  be a convex cone of continuous functions  $f : M^{3 \times 3} \rightarrow \mathbb{R}$ . Examples are the cones of convex, polyconvex, quasiconvex and rank-one convex functions.

For a continuous  $\psi : M^{3 \times 3} \rightarrow \mathbb{R}$  define the  $G$ -convexification  $\psi^G$  of  $\psi$  by

$$\psi^G = \sup\{f \in G : f \leq \psi\}.$$

Then  $\psi^c \leq \psi^{pc} \leq \psi^{qc} \leq \psi^{rc}$ .

$\psi^{qc}(\mathbf{A}, \theta)$  is the **macroscopic** free-energy function corresponding to  $\psi$ .

Similarly, for  $K \subset M^{3 \times 3}$  compact define (Šverák)

$$K^G = \{\mathbf{A} : f(\mathbf{A}) \leq \max_K f \text{ for all } f \in G\}.$$

Then  $K^{rc} \subset K^{qc} \subset K^{pc} \subset K^c$ .

**Theorem** (JB/Carstensen (to appear) following Krucik 2000)

$$K^G = \{\mathbf{A} \in M^{3 \times 3} : \exists \mu \in \mathcal{P}(K) \text{ with } f(\mathbf{A}) \leq \langle \mu, f \rangle \forall f \in G\}$$

In particular

$$K^{qc} = \{\bar{\nu} : \nu \text{ homogeneous gradient YM, } \text{supp } \nu \subset K\}.$$

$K(\theta)^{qc}$  is the set of **macroscopic deformation gradients** corresponding to zero-energy microstructures.

# Phase nucleation

How does austenite transform to martensite as  $\theta$  passes through  $\theta_c$ ?

It cannot do this by means of an exact interface between austenite and martensite, because this requires the middle eigenvalue of  $\mathbf{U}_i(\theta)$  to be one, which in general is not the case (but see later).

So what does it do?